

# **Broadband, Equity, Access, and Deployment Program (BEAD)**

## **Preregistration Evaluation Checklist**

Arizona Commerce Authority (ACA)

June 2025

**BEAD PREREGISTRATION EVALUATION CHECKLIST**

Note: The evaluation will be conducted as a screening process for minimum and mandatory requirements rather than a pass/fail basis. Missing or incorrect information will be identified to be corrected for a complete submission prior to the project application process.

Category	Description	Yes	No	N/A	Remarks/Action
<b>1. Organization and Applicant Information</b>					
<b>Applicant Information</b>	The submitted information includes applicant/entity name, mailing address, website, and contact details	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Other Details</b>	Potential Applicant included other details such as Provider ID, Federal Employer Identification Number, Unique Entity Identifier (UEI), State Tax ID Number, FRN number, broadband provider information, type of service provider, and webpage link.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>2. Financial Capability</b>					
<b>Certifications</b>	Provided certification that Potential Applicant is financially qualified to meet the obligations associated with project applications.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Provided certification that Potential Applicant will have available funds for all proposed project costs that exceed the amount of the grant.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Certified compliance with all BEAD program requirements, including any service milestones and reporting requirements.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that it would comply with uniform administrative requirements, cost principles (where applicable), and audit requirements to complete and operate the project.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant submitted a binding statement signed by an executive certifying and guaranteeing the organization has the necessary financial capacity to successfully implement the project. (Attachment 1)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Audited Financial Statements</b>	Potential Applicant is publicly traded and has provided direct links to 10-K statements for the three most recent fiscal years.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<u>If Potential Applicant is not publicly traded:</u> Audited or independently reviewed financial statements for the three most recent fiscal years including Balance Sheet, Income Statement, Statement of Changes in Financial Position or Statement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Category	Description	Yes	No	N/A	Remarks/Action
	of Cash Flows, and footnotes have been provided. (Attachment 2)				
	<u>If audited statements are unavailable:</u> Potential Applicant provided a narrative explaining unavailability of audited financial statements.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<u>If Potential Applicant is not publicly traded and audited statements are unavailable:</u> Unaudited financial statements for the three most recent fiscal years with certification from a person with authority and knowledge as to their accuracy have been provided. (Attachment 3)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<u>If audited financial statements are unavailable</u> Potential Applicant certified that audited financial statements prepared by an independent certified public accountant from the prior fiscal year will be provided prior to receiving any subgrants.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	<u>If Potential Applicant's financial history is less than three years:</u> Potential Applicant included quarterly or semi-annual statements for partial years including the current year with certification from a person with authority and knowledge as to their accuracy. (Attachment 4)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Material Changes</b>	Potential Applicant responded to whether any changes in financial conditions occurred or are projected to occur, during the three most recent completed fiscal years and during the next fiscal year which is not yet captured in financial statements for their organization.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	If applicable, Potential Applicant provided summary of material changes in financial condition.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Credit Rating</b>	Potential Applicant responded to whether its organization has a corporate credit rating carried out by certified credit rating agencies including but not limited to S&P Global, Moody's, and Fitch Ratings.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	If applicable, provided copy of latest corporate credit rating carried out by rating agencies such as S&P	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Category	Description	Yes	No	N/A	Remarks/Action
	Global, Moody's, etc. (Attachment 5)				
Bankruptcy/ Insolvency Proceeding	Potential Applicant responded to whether its organization had any insolvency events in the past three fiscal years.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	If yes, Potential Applicant provided summary information on any current and prior insolvency events within the last three fiscal years relating to the organization or any person or entity which directly or indirectly through one or more intermediaries' controls, or is controlled by, or is under common control with organization.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Failure to Perform	Potential Applicant responded to whether its organization defaulted on the completion of a broadband deployment grant funded with local, state or federal funds, from January 1, 2019, up to the date of submitting preregistration application.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	If yes, Potential Applicant provided a list of grant projects where its organization has defaulted on the completion of a broadband deployment grant (with an executed grant agreement) funded with local, State or Federal Funds, from January 1, 2019, up to the date of submitting the preregistration application. (Attachment 6)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Performance Security	Potential Applicant certified that its organization understands that if awarded a project under the BEAD program, its organization will have to provide the required performance security at the project application phase to demonstrate financial strength and capability.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
3. Managerial Capability					
Corporate Organizational Chart(s)/ Baseline Company Profile	Potential Applicant submitted a corporate organizational chart identifying the parent company and any subsidiaries or affiliates that will be performing work in Arizona and identifying the company serving as the Potential Applicant to the BEAD Program. (Attachment 7)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Applicant Organizational Chart	Potential Applicant submitted an organizational chart that includes all relevant personnel within the organization who are likely to have a role on a potential broadband	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Category	Description	Yes	No	N/A	Remarks/Action
	project in Arizona. Relevant personnel include a chief technology officer, project engineer, and contractor oversight team. (Attachment 8)				
	Potential Applicant certified that its organization employs personnel with relevant certifications for broadband deployment projects as mandated by state and federal law and reflective of industry practices.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant provided a narrative that describes how its organization employs personnel with relevant certifications for deployment projects as mandated by state and federal law and reflective of industry practices.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Resumes of Key Management Personnel</b>	Potential Applicant submitted one-page resumes of all relevant financial, technical, and managerial key personnel that would be involved in a BEAD broadband deployment project. (Attachment 9)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Each resume demonstrates relevant experience with broadband projects of similar scope and scale	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	All key personnel are employees of the organization, rather than contractors	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	One of the key personnel fills the role of Project Manager who will serve as the main point of contact for the project.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Explanation of Roles and Responsibilities</b>	Potential Applicant provided a detailed narrative explaining the specific roles and responsibilities of all key personnel who will be involved in the BEAD broadband deployment projects in Arizona. Narrative comprehensively outlines the functions and tasks assigned to individuals in the financial, technical, and managerial domains.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Qualifications</b>	Potential Applicant provided organizational qualifications detailing prior experience with broadband/telecommunications deployment projects and other projects of a comparable size and scope. Each description of project experience includes details such as the construction cost, start and completion dates of the project, any teaming partners and the use of	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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	contractors and subcontractors, and the value that the Potential Applicants contributed to the project. (Attachment 10)				
	The submission indicates the network types that they have deployed or operated in the past (Fiber-to-the-Premise (FTTP), Hybrid Fiber-Coaxial (HFC), Coaxial, Copper/DSL, Fixed Wireless, Mobile Wireless, etc.).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Organizational Readiness</b>	Potential Applicant provided a narrative highlighting organizational readiness, including emphasizing any relevant experience or successful track record in managing similar projects and showcasing the team's ability to handle the complexities and challenges that may arise during the proposed broadband project.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant provided a narrative that includes details of resources, partnerships, or technology that will be used on BEAD projects to help ensure they are successful.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Managerial Readiness</b>	Potential Applicant provided a narrative describing readiness to manage a broadband network including the experience and qualifications of key management personnel.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	The description provided includes any recent or upcoming organizational changes, such as mergers and acquisitions, that impact readiness.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	The narrative includes a summary of any organizational policies that contribute to readiness in effectively managing a broadband network.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>4. Technical Capability</b>					
<b>Certifications</b>	Potential Applicant certified that its organization has the technical qualifications to complete and operate BEAD projects and is capable of carrying out any BEAD funded activities competently, including the use of a skilled and credentialed workforce.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that any employed personnel will have relevant certifications for deployment projects as mandated	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Category	Description	Yes	No	N/A	Remarks/Action
	by local, state, and federal law and reflective of industry best practices.				
	Potential Applicant certified that its organization and workforce deployed on projects remain current on all required credentials and licenses for the duration of the project period.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant provided a statement signed by an executive with the authority to bind the organization certifying that the organization has the necessary technical capacity to successfully implement the project. (Attachment 11)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
5. Compliance with Applicable Laws					
Compliance with Laws Commitment	Potential Applicant provided an attestation confirming understanding and commitment to comply with applicable federal, state, and local laws, or disclose any past violations. (Attachment 12)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant provided an overview of previous records or compliance checks demonstrating compliance with all federal, state, and local laws for previous broadband deployment projects, including those funded by other broadband related programs in Arizona (such as ABDG)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant provided a description of established processes, procedures, or protocols in place to ensure continued compliance with applicable laws during any BEAD funded broadband deployment projects.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	If Potential Applicant is unable to certify compliance with all federal, state, and local laws, provide an explanation. This explanation offers context for any violations and demonstrate the adoption of procedures to prevent future violations.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Safety and Health Requirements Commitments	Potential Applicant provided a statement confirming its commitment towards compliance with occupational safety and health requirements, highlighting the permission for employees to create	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Category	Description	Yes	No	N/A	Remarks/Action
	worker-led health and safety committees that management will engage with upon reasonable request.				
	Potential Applicant submitted evidence of training programs or initiatives aimed at informing and ensuring that its team understands and adheres to safety and legal standards, including but not limited to all OSHA Guidelines and related items. Examples of evidence may include a description, training schedules, modules, or materials. (Attachment 13)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant provided attestation that certifies that the Potential Applicant permits workers to create worker-led health and safety committees that management will meet with upon reasonable request. (Attachment 14)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	If Potential Applicant is unable to comply with occupational safety and health requirements, Potential Applicant provided an explanation as to why. This explanation offers context for any past violation and demonstrate the adoption of procedures to prevent future violations.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
6. Operational Capability					
Certification	Potential Applicant confirmed that its organization possesses the operational capability to complete and operate the project(s).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant submitted a statement signed by an executive with the authority to bind the organization certifying that its organization has the necessary operational capacity to successfully implement the project and will, if awarded a Grant for a Project, undertake to perform that work. (Attachment 15)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
For Existing Providers –	Potential Applicant provided details of past successful operations including the number of consecutive years it has been operating in the state of Arizona.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that its organization has provided voice, broadband, and/or electric transmission or distribution service	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Category	Description	Yes	No	N/A	Remarks/Action
<b>History of Operations</b>	for at least two consecutive years prior to this application submission.				
	If yes, Potential Applicant provided the above-mentioned certification attesting to the facts and specifying the number of years it has been operating. (Attachment 16)	<input type="checkbox"/>	- <input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant provided details of current subscribers for household, businesses, and Community Anchor Institutions in the state of Arizona and the state level outside of Arizona.	<input type="checkbox"/>	- <input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant provided details of any broadband deployment projects in the last two years in the state of Arizona.	<input type="checkbox"/>	- <input type="checkbox"/>	<input type="checkbox"/>	
	Supporting documentation has been uploaded for the projects	<input type="checkbox"/>	- <input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant provided details and submitted evidence of any penalties paid by it or its affiliates, any subsidiaries, or the holding company relative to deployment projects.	<input type="checkbox"/>	- <input type="checkbox"/>	<input type="checkbox"/>	
	Additionally, Potential Applicant included relevant details of prior criminal proceedings or civil litigation in State of Arizona and the number of times they have been a defendant.				
	Potential Applicant certified that its organization has submitted true and accurate information regarding any previous penalties within the state of Arizona related to broadband deployment projects. (Attachment 17)	<input type="checkbox"/>	- <input type="checkbox"/>	<input type="checkbox"/>	
<b>For Existing Providers – Compliance with the FCC Regulations</b>	<u>If Potential Applicant has previously provided voice and/or broadband service:</u>				
	Potential Applicant certified that it has filed FCC Form 477s and the Broadband DATA Act submission, as applicable and required and complied with the FCC's rules and regulations.	<input type="checkbox"/>	- <input type="checkbox"/>	<input type="checkbox"/>	
	<u>If Potential Applicant has not complied with all prerequisites and regulations:</u>	<input type="checkbox"/>	- <input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant provided an explanation for non-compliance with				

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	prerequisites and regulations put forth by the FCC. Potential Applicant detailed the circumstances, whether there are any related (pending or completed) enforcement actions, civil litigation, or other matters.				
<b>For New Entrants to the Broadband Market</b>	<u>If Potential Applicant organization is a new broadband service provider:</u> Potential Applicant provided details on years in existence and nature of business.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant provided length of duration of providing broadband services, if applicable.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant submitted evidence sufficient to demonstrate that it has obtained, through internal or external resources, sufficient operational capabilities to deploy broadband infrastructure. (Attachment 18)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Resumes of key personnel have been submitted as part of this response or in the managerial capabilities section.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Descriptions of relevant ongoing or completed projects are submitted as part of this response or in the technical capabilities section.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Narratives from contractors, subcontractors, or other partners with relevant operational experience are submitted as part of this response or in the technical capabilities section.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>7. Ownership Information</b>					
<b>Potential Applicant Information</b>	Potential Applicant provided list of real party or parties with interest, including a complete disclosure of the identity and relationship of those persons or entities directly or indirectly owning or controlling (or both). (Attachment 19)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Stockholders Information</b>	Potential Applicant provided list of name, address, and citizenship of any party holding 10% or more of stock, whether voting or nonvoting, common, or preferred, including the specific amount of the interest or percentage held. (Attachment 19)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Limited Partners Information</b>	In case of a limited partnership, Potential Applicant listed name, address and citizenship of each	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Category	Description	Yes	No	N/A	Remarks/Action
	limited partner whose interest is 10% or greater. (as calculated according to the percentage of equity paid in or the percentage of distribution of profits and losses) (Attachment 19)				
<b>General Partners Information</b>	In the case of a general partnership, Potential Applicant provided list including name, address and citizenship of each partner, and the share or interest participation in the partnership. (Attachment 19)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Limited Liability Company Information</b>	In the case of a limited liability company, Potential Applicant provided list including name, address, and citizenship of each of its members whose interest in the Potential Applicant is 10% or greater. (Attachment 19)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Indirect Ownership Interest Information</b>	Potential Applicant provided list of all parties holding indirect ownership interests as determined by successive multiplication of the ownership percentages for each link in the vertical ownership chain, that equals 10% or more of the Potential Applicant, except that if the ownership percentage for an interest in any link in the chain exceeds 50% or represents actual control, it shall be treated and reported as if it were a 100% interest. (Attachment 19)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>FCC-Regulated Entity Information</b>	<p>Potential Applicant provided list of any FCC-regulated entity or Potential Applicant for an FCC license, in which the Potential Applicant or any of the parties above, owns 10% or more of stock, whether voting or nonvoting, common, or preferred.</p> <p>This list includes a description of each such entity's principal business and a description of each such entity's relationship to the Potential Applicant (e.g., Company A owns 10% of Company B (the Potential Applicant) and 10% of Company C, then Companies A and C must be listed on Company B's application, where C is an FCC licensee and/or license applicant) (Attachment 19)</p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Category	Description	Yes	No	N/A	Remarks/Action
8. Public Funding Information					
Public Funding Information	Potential Applicant responded to whether it or its affiliates submitted or plan to submit, or are undertaking or have committed to undertake any broadband deployment projects using public funds?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant uploaded a list of all publicly funded state and federal broadband deployment projects other than those funded through Arizona's BEAD program for which it or its affiliates have submitted or plan to apply, in addition to any publicly funded broadband deployment project that it or its affiliates are undertaking or plan to undertake.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	This includes detailing aspects like sources of public funding/funding agency, award date, award amount, expected end date of the project, service speed, coverage area, commitment to serve unserved/underserved areas, the amount of public funding used, consumer service costs, and matching fund requirement amount. (Attachment 20)				
9. Fair Labor Practices and Highly Skilled Workforce					
Fair Labor Practices and Highly Skilled Workforce	Potential Applicant certified its organization's compliance with federal labor and employment laws.				
	Potential Applicant provided a signed certificate of compliance with federal and State labor and employment laws for the past three years by an Officer/Director-level employee of the entity (e.g., President, Chief Executive Officer, Chief Financial Officer, Treasurer, or equivalent position). (Attachment 21). It also certified that the Potential Applicant will plan to comply with federal and state labor and employment laws.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	If Potential Applicant is a new provider, the Potential Applicant committed to robust labor and employment standards and protections, including compliance with federal labor and employment laws for BEAD-funded Projects in the future. (Attachment 21)				

Category	Description	Yes	No	N/A	Remarks/Action
	Potential Applicant disclosed any non-compliance with federal and State labor and employment laws by its organization over the past three years. This record describes the corrective steps taken to avoid similar incidents and ensure future compliance, if applicable.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that it has disclosed any instances in which it has been found to have violated laws such as the Occupational Safety and Health Act, the Fair Labor Standards Act, or any other applicable labor and employment laws for the preceding three years, if applicable.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>10. Environmental and Historical Preservation (EHP) Compliance</b>					
<b>Certifications</b>	Potential Applicant certified that its organization will comply with State Historical Preservation Act (SHPA), National Environmental Policy Act (NEPA) (42 U.S.C. §§ 4321 et seq.) and National Historic Preservation Act (NHPA) (54 U.S.C. §§ 300101 et seq.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that its organization understands that NEPA analysis will be required for every project funded through the BEAD Program and agreed to provide sufficient information to allow for NEPA analysis such as a detailed project description, including applicable supporting documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that its organization understands the responsibility for identifying and obtaining applicable Federal, State and Local Permits (including but not limited to any necessary permits, licenses or easements) that are required to complete any BEAD projects.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that its organization will cooperate with NTIA in identifying feasible measures to reduce or avoid any identified adverse environmental impacts of its proposed projects or other eligible activities.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant submitted a statement signed by an executive certifying the above. (Attachment 22)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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11. Build America and Buy America Act (BABA) Compliance					
Certifications	Potential Applicant certified that its organization would adhere to the definitions outlined in Section 70912 of the Build America, Buy America Act when determining whether a product qualifies as produced in the United States.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that all iron, steel, manufactured products (including, but not limited to, fiber-optic communication facilities), and construction materials used in the project or eligible activities will be produced in the United States, unless a waiver is granted.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that its organization will not use BEAD funding to purchase or support covered communications equipment or services, as defined in Section 9 of the Secure and Trusted Communications Networks Act of 2019 (47 U.S.C. § 1608).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that its organization would comply with the Infrastructure Act which explicitly prohibits subgrantees from using BEAD funding to purchase or support fiber-optic cable and optical transmission equipment manufactured in the People's Republic of China unless a waiver is granted by the Assistant Secretary.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant submitted a statement signed by an executive certifying the above. (Attachment 23)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant provided detailed plans outlining its approach to remaining compliant with BABA requirements including details of any waivers,if applicable	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
12. Cybersecurity Compliance and Supply Chain Risk Management Compliance					
Cybersecurity Risk Management Compliance	Potential Applicant identified if its organization intends to provide the cybersecurity risk management plan during preregistration or during project applications.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	If applicable, Potential Applicant uploaded its organization's cybersecurity risk management plan (Attachment 24)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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	Potential Applicant confirmed that a cybersecurity risk management plan is operational if the Potential Applicant is already providing services prior to the grant award.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	In case of not yet providing service prior to the grant award, the Potential Applicant confirmed readiness to operationalize the cybersecurity risk management plan upon providing service after the grant award.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that its organization's cybersecurity risk management plan is / will be based on the latest available version of the National Institute of Standards and Technology (NIST) Framework for Improving Critical Infrastructure Cybersecurity, which is currently Version 2.0.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that its organization adheres / will adhere to the standards and controls outlined in Executive Order 14028 and specifies the particular security and privacy controls being implemented.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant confirmed that the cybersecurity risk management plan will be subject to periodic reevaluation and updating, as well as changes in response to significant events.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant confirmed that the most updated plan will be provided prior to signing the grant agreement and if any substantive changes are made to the plan, a new version will be submitted within 30 days.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Supply Chain Risk Management Compliance</b>	Potential Applicant identified if its organization intends to provide the supply chain risk management plan during preregistration or during project applications.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	If applicable, Potential Applicant uploaded its organization's supply chain risk management plan. (Attachment 25)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant confirmed that a supply chain risk management plan is operational if the Potential Applicant is already providing services prior to the grant award.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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	In case of not yet providing service prior to the grant award, the Potential Applicant confirmed readiness to operationalize the supply chain risk management plan upon providing service after the grant award.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant certified that its organization's supply chain risk management plan is / will be based on the best practices outlined in NIST publication NISTIR 8276, Key Practices in Cyber Supply Chain Risk Management: Observations from Industry.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Additionally, the supply chain risk management plan references relevant guidance from NIST, such as NIST 800-161, Cybersecurity Supply Chain Risk Management Practices for Systems and Organizations and will specify the supply chain risk management controls being implemented.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant confirmed that the supply chain risk management plan will be subject to periodic reevaluation and updating, as well as appropriately revised in response to significant events.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Potential Applicant confirmed that the most updated plan will be provided prior to signing the grant agreement and if any substantive changes are made to the plan, a new version will be submitted within 30 days.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	